

CLEARPOINT NEURO, INC.

EDUCATIONAL GRANT GUIDELINES

ClearPoint Neuro, Inc. (ClearPoint Neuro) from time to time provides grants to support scientific and educational events and programs related to functional and stereotactic neurosurgery

ClearPoint Neuro provides grants to support the following types of educational programs:

- National, regional or local conferences and professional meetings, eligible for continuing medical education (CME) credit or otherwise;
- Grand Rounds presentations;
- Publication or rebroadcasts of a CME Conference or program (in booklet or pamphlet form, over the Internet, etc.).
- Hands-on educational courses
- Other independent, bona fide educational materials or initiatives
- Fellowship Programs (Accredited or for specialty training areas recognized broadly by national institutional fellowship programs)

Educational Grants are provided only for **independent** third-party educational events and programs. If you are interested in collaborating with ClearPoint Neuro to provide patient or physician education about ClearPoint Neuro products, please email education@clearpointneuro.com.

Educational Grants awarded by ClearPoint Neuro are provided without condition of product use or contingent upon any commitment to purchase, use or recommend use of any ClearPoint Neuro products.

Educational Grant requests should be submitted at least sixty days prior to the program date (90 days for Fellowship Grants). Submitting a late or incomplete grant application may result in denial of the request without review.

Employees of ClearPoint Neuro are not permitted to submit grant requests on your behalf and do not have the authority to award or commit support for Educational Grant Requests. Grant requests must be submitted to ClearPoint Neuro directly by your institution or organization as described below.

Recipients of ClearPoint Neuro educational grants must agree to abide by all applicable requirements of the AdvaMed Code of Ethics on Interactions with Health Care Professionals (or, for programs outside the United States, the MedTech Europe Code of Ethical Business Practice). In addition, recipients of ClearPoint Neuro educational grants supporting accredited programs must agree to abide by all applicable requirements of the accrediting body.

- Submission of a request does not constitute a guarantee of funding.
- Previous support of an educational activity does not guarantee future support.

Educational Grants are awarded by several determining factors which include available grant funds, compliance with applicable industry and professional standards, whether the request is within our field

of interest, and our corporate business needs. As much as we would like to support all medical educational activities, we appreciate your understanding that all requests cannot be supported.

If your request is approved, you will receive a grant agreement. ClearPoint Neuro must receive a signed copy of the grant agreement from the payee institution or organization prior to disbursing any funds.

Your request must include all of the following to be considered:

For Educational Event Sponsorships:

Letter of Request outlining the nature and purpose of the educational activity, qualifications of speakers, the amount being requested, and general background of the educational activity, and identifying the payee of grant funds.

Agenda for the educational activity: topics, number of days and schedule of the program. The agenda should also include any entertainment that will be a part of the program.

Budget breaking down how the funds will be utilized within the overall program budget: Faculty, Food, mailing expenses, etc.

Meeting Objectives- Provide details on what the program will accomplish for participants along with the need to have the program.

Statement of Accreditation- If the program will have continuing education credits, please provide the statement on accreditation from the accrediting institution acknowledging support of your program.

Program Brochure- Please only provide a copy of the actual program brochure should it be available. Past program brochures should not be included in your request.

Levels of Support Available If applicable, please provide the different levels of support that are available and any promotional opportunities associated with those levels (i.e.: Gold Level, Silver Level, Booth Display, Lunch Sponsor, Bag Sponsor etc.).

For Fellowship Grants:

Letter of Request outlining the objectives, structure, and beginning and end dates of the fellowship program, fellow qualifications, the amount being requested, general background about the fellowship program, and identifying the payee of grant funds.

Budget for fellowship program, including fellow stipend, travel or research expenses, and any overhead charges.

Program Objectives- Explain how the program will accomplish its stated objectives, including the research and educational opportunities to be provided to the fellow(s).

Any Accreditation- Provide information about the accreditation of the fellowship program, OR alternatively if no accreditation, provide information about how the fellowship program contributes to medical education including information about other recognized medical education institutions who offer similar programs for such specialty training. Note that ClearPoint Neuro recognizes that in certain cases, institutions may not be able to apply for accreditation until they have funding support. In such cases, please include information about any plans for accreditation; any support provided by ClearPoint Neuro will be provided contingent upon receiving such accreditation.

Nonprofit Status- Provide evidence of the nonprofit status of the payee organization.

How to Submit Educational Grant Requests

All Grant requests may be submitted by e-mail or hard copy as follows:

- Email- Email all documents to: grants@clearpointneuro.com
- Mail- Mail all documentation to:
ClearPoint Neuro, Inc.
120 S. Sierra Ave., Suite 100
Solana Beach, CA 92075
Attention: Clinical Affairs

ClearPoint Neuro has established a Compliance Committee as part of our ongoing commitment to ensure that our interactions with healthcare professionals are conducted with integrity and in compliance with the ClearPoint Neuro Comprehensive Compliance Program, the AdvaMed Code of Ethics and the MedTech Europe Code of Ethical Business Practice.

Please note, pursuant to the AdvaMed Code of Ethics, Educational Grant funds provided to support third-party educational programs may be used only for the following purposes:

- To defray or reduce the costs of conducting the educational components of a Third-Party Program
- To allow Health Care Professionals-in-training (for example, medical and nursing students, residents, and fellows) to attend the Third-Party Program, provided that the Company does not select or control the selection of the specific Health Care Professionals-in-training who will benefit
- To cover the reasonable compensation, travel, lodging, and modest meals of Health Care Professionals who serve as bona fide faculty at the Third-Party Program
- To provide Health Care Professionals attending the Third-Party Program with items of value permissible under the Code, such as modest meals, refreshments, and educational items

The following is a (non-exhaustive) list of what ClearPoint Neuro will NOT support:

- Direct Payment by ClearPoint Neuro of an honorarium or expenses directly to educational program faculty
- Direct payment of registration and/or travel costs for program attendance by health care professionals or health care professionals in training.
- Bricks & Mortar (*i.e.*, to build or support the building of labs, offices, research centers etc.)
- Sponsoring a fellow for a private practice
- Sponsoring lavish dinner meetings
- Sponsoring Meetings devoted to purposes other than training and education
- Meetings lacking a specific scientific agenda or organizational sponsor

ClearPoint Neuro is committed to supporting the training and education of healthcare professionals under the guidance of ACCME and the AdvaMed Code of Ethics and MedTech Europe Code of Ethical Business Practice.

For more information on guidelines please visit:

www.accme.org, www.acgme.org, www.advamed.org , www.medtecheurope.org